FINE ORMATION DISCLOSURE CITATION

ATTORNEY'S DKT NO. APPLICATION NO 032005-120 09/960,389 APPLICANT Mark Ashby, et al. FILING DATE GROUP 2814 September 24, 2001

	PTO-1			September 24, 2001			2814			
ENT & TRADE		U.	S. PATENT DOG	ÙMENTS .			1.174			
EXAMINER'S INITIALS	PATENT NO.	DATE	NA	ME	CLASS	SUB	CLASS	FILING DATE		
37/	1,578,517	Mar. 1926	Hein							
- XX	2,086,580	Jul. 1937	Shirley		1 1		1			
	2,680,442	Jun. 1954	Linzmayer							
	2,761,446	Sep. 1956	Reed				7			
	2,814,294	Nov. 1957	Figge				18 2	: R		
	4,000,741	Jan. 1977	Binard, et al.		V			OF N		
	4,323,072	Apr. 1982	Rosenbluth, et a	1.			χ. (与湿		
	4,340,066	Jul. 1982	Shah				13			
	4,390,018	Jun. 1983	Zulowski				13			
	4,645,488	Feb. 1987	Matukas		-		0.0			
	4,744,364	May 1988	Kensey	<u> </u>						
	4,790,819	Dec. 1988	Li, et al.							
	4,852,568	Aug. 1989	Kensey							
	4,890,612	Jan. 1990	Kensey							
	4,900,303	Feb. 1990	Lemslson							
	4,936,835	Jun. 1990	Haaga							
	4,950,234	Aug. 1990	Fujioka, et al.							
	5,021,059	Jun. 1991	Kensey, et al.	8						
	5,053,046	Oct. 1991	Janese							
	5,061,274	Oct. 1991	Kensey							
	5,080,655	Jan. 1992	Haaga			Π				
	5,108,421	Apr.1998	Fowler							
	5,163,904	Nov. 1992	Lampropoulos,	et al.						
	5,167,624	Dec. 1992	Butler, et al.							
	5,192,300	Mar. 1993	Fowler							
	5,192,301	Mar. 1993	Kamiya, et al.							
	5,195,988	Mar. 1993	Haaga							
	5,220,926	Jun. 1993	Jones							
	5,221,259	Jun. 1993	Weldon, et al.							
	5,275,616	Jan. 1994	Fowler				1			

		1		 		
EXAMINER	Vi	leli	Trink	 DATE CONSIDERED	/12	102
			,		1	

SHEET 2 OF 7

INFORMATION DISCLOSURE	032005-120	APPLICATION NO. 09/960,389
CITATION.	APPLICANT Mark Ashby, et al	
PTO-1449	FILING DATE September 24, 2001	GROUP 2814
U.S. PATENT	DOCUMENTS	

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
M	5,325,857	Jul. 1994	Nabai, et al.			
	5,334,216	Aug. 1994	Vidal, et al.			
	5,383,899	Jan. 1995	Hammerslag			
	5,388,588	Feb. 1995	Nabai, et al.			
	5,391,183	Feb. 1995	Janzen, et al.			
	5,419,765	May 1995	Weldon, et al.			
	5,467,780	Nov. 1995	Nabai, et al.			
	5,478,352	Dec. 1995	Fowler			
	5,479,936	Jan. 1996	Nabai, et al.			
	5,486,195	Jan. 1996	Myers, et al.			
	5,522,850	Jun. 1996	Yomtov, et al.			
	5,540,715	Jul. 1996	Katsaros, et al.			
	5,591,205	Jan. 1997	Fowler			
	5,601,602	Feb. 1998	Fowler			
	5,649,547	Jul. 1997	Ritchart, et al.			
	5,681,279	Oct. 1997	Rober, et al.			
	5,716,375	Feb.1998	Fowler			
	5,725,498	Mar. 1998	Janzen, et al.			-
	5,769,086	Jun. 1998	Ritchart, et al.		+	
	5,775,333	Jul. 1998	Burbank, et al.			
	5,902,310	May. 1999	Foerster, et al.			
		To the second se				
-						

EXAMINER	V.	lifi	rub	DATE CONSIDERED	8	12/0	2

SHEET 3 OF 7

INFORMATION DISCLOSURE CITATION CITATION PTO-1449 ATTORNEY'S DKT NO. 09/960,389 APPLICATION 09/960,389 APPLICANT Mark Ashby, et al. Filing Date September 24, 2001 September 24, 2001 FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE						
INITIALS	0 032 826	Jul. 1981	EPO									
	1 569 660	Jun. 1980	England	ngland								
	2 641 692	Jul. 1990	France									
1	0 476 178	Mar. 1992	ЕРО									
	0 482 350 Apr. 1992		EPO									
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)												
3,	Vinant Chuang, M.D., et al., "Sheath Needle for Liver Biopsy in High-Risk patients," <i>Technical Developments and Instrumentation</i> , Radiology, Vol. 166, (1988): pg. 261 - 262.											
/		Cony P. Smith, et al., "Percutaneous Transhepatic Liver Biopsy with Tract Embolization," Radiology, Vol. 198 (1996): pgs. 769 - 774.										
7		S.A. Riley, et al., :"Percutaneous Liver Biopsy With Plugging of Needle Tract: A Safe Method For Use In Patients With Impaired Coagulation," The Lancet (August 1964)										
	Patients Unde	ergoing Diag	Rapid Hemostasis of Arteria nostic and Interventional Ca cember 1997): pgs. 981 - 99	ırdiac Cat								
	Marc Zins, M	I.D., et al. " : A Prospecti	US-guided Percutaneous Liv ve Study in 72 High-Risk Pa	ver Biopsy								
	1		t al. " <i>Percutaneous Liver Bi</i> 7ol. 169 (1988) pgs. 261 - 2		Tract Embol	ization with						
	Palmaz Schat a Vascular H	Ferdinand Kiemeneij, M.D., et al., "Improved Anticoagulation Management After Palmaz Schatz Coronary Stent Implantation by Sealing the Arterial Puncture Site With a Vascular Hemostasis Device," Catheterization and Cardiovasclar Diagnosis, Vol. 30 (1993): pgs. 317 - 322.										
			rly Mobilization After Percui Seal) Haemostasis," Br. He									

			1				100		
EXAMINER	Vil) di	Th	-	DATE CONSIDERED	8	12/0	2	_

SHEET 4 OF 7

INFORMATION DISCLOSURE **CITATION**

ATTORNEY'S DKT NO. APPLICATION NO. 032005-120 09/960,389

APPLICANT

Mark Ashby, et al.

FILING DATE September 24, 2001

GROUP 2814

PTO-1449

OTHER DOCUMENTS

1	MINER'S TIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE						
W	7/«	Comparison to	o Pressure D	gen Application for Sealing ressing: A Randomized Trice (1992): pgs. 298-302.	•								
			ery Stent Imp	oral Arterial Hemostasis Us lantation," Journal of Interv	0	0 0 0							
	7	Access Site Co Randomized T	illiam G. Kussmaul III, M.D., et al., "Rapid Arterial Hemostasis and Decreased cress Site Complications After Cardiac Catheterization and Angioplasty: Results of andomized Trial of a Novel Hemostatic Device," Journal of the American College of Ardiology, Vol. 25, No. 7 (1995): pgs. 1685 - 1692.										
		Percutaneous After Diagnos	imothy A. Sanborn, M.D., et al. "Multicenter Randomized Trial Comparing a ercutaneous Collagen Hemostasis Device With Conventional Manual Compression fter Diagnostic Angiography and Angioplasty," Journal of the American College of ardiology, Vol. 25, No. 7 (1993): pgs. 1273 - 1279.										
			Pharmacia & Upjohn Manufacturer Brochure "Gelfoam Sterile Sponge, Sterile Powder and Sterile Film," (May 1997): pgs. 1 - 34.										
		Pharmacia & 1996).	Upjohn Man	ufacturer Brochure "Gelfoar	m Sterile F	Powder," (Fe	ebruary						
				ufacturer Specification "Gelo" (November 1996): pgs. 1	•	le Sponge, S	terile						
						-							
			<u> </u>										

EXAMINER	Ne -	Van la	DATE CONSIDERED	8	12/05
	 	<u> </u>			

SHEET <u>5</u> OF <u>7</u>

	NFO	RMATION	DISCLO	SURF	ATTORNEY'S DKT N 032005-120	0.	APPLICATION NO. 09/960,389		
		CITAT		OONE	APPLICANT Mark Ashby, et al				
		PTO-1	440		FILING DATE September 24, 20	001	GROUP 2814		
	7 4 1	F10-1		S. PATENT DO			in the house		
EXAMIN INITIA		PATENT NO.	DATE		JAME	CLASS	SUBCLASS	FILING	
		581,235	Apr. 1897	Kenyon					
NA.		2,465,357	Mar. 1949	Correll					·
		2,492,458	Dec. 1949	Bering, Jr.					
		2,507,244	May 1950	Correll		7			
		2,558,395	Jun. 1951	Studer					
		2,597,011	May 1952	MacMasters,	et al.				
		2,824,092	Feb. 1959	Thompson					
		2,899,362	Aug. 1959	Sieger, Jr., et	: al.				
		3,157,524	Nov. 1964	Artandi					
		4,515,637	May 1985	Cioca					
		4,587,969	May 1986	Gillis					
		4,588,395	May 1986	Lemelson					
		4,619,261	Oct. 1986	Guerriero					
		4,619,913	Oct. 1986	Luck, et al.					
		4,829,994	May 1989	Kurth					
		4,850,960	Jul. 1989	Grayzel					
			FOR	EIGN PATENT	DOCUMENTS	<u>(</u>			
EXAMIN INITIA		PATENT NO.	DATE	со	UNTRY	CLASS	SUBCLASS	Transl Yes	ation No
WAY		WO 96/08208	Mar. 1996	PCT					
7		WO 98/06346	Feb. 1998	PCT		V			
		1 509 023	Apr. 1978	United Kingdon	n	V			
					Title, Date, Pertine	Salara de Caración			
	2				Gelfoam Sterile Pow r Gelfoam, Sep. 199		1996.		
	,	,					1		
EXAMIN	IER \	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Til	DA	ATE CONSIDERED	2/12	122		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 6 OF 7

INFO	RMATION	DISCLO	SURF	032005-120	Ю.	APPLICATION NO. 09/960,389		
""	CITA		OONE	APPLICANT Mark Ashby, et al				
	PTO-1	119		FILING DATE September 24, 20	001	GROUP 2814		
and the state of t	F10-1		S. PATENT DO		and a second			
EXAMINER'S INITIALS	PATENT NO.	DATE		IAME	CLASS	SUBCLASS	FILING DATE	
	4,929,246	May 1990	Sinofsky					
)	5,007,895	Apr. 1991	Burnett					
	5,310,407	May 1994	Casale					
	5,336,480	Nov. 1994	Corriveau, et	al.				
	5,383,896	Jan. 1995	Gershony, et	al.				
	5,431,639	Jul. 1995	Shaw					
	5,437,631	Aug. 1995	Janzen					
	5,526,822	Jun. 1996	Burbank, et a	l.				
	5,529,577	Jun. 1996	Hammerslag	•				
	5,545,178	Aug. 1996	Kensey, et al.				"	
	5,558,853	Sep. 1996	Quay					
	5,591,204	Jan. 1997	Janzen, et al.					
	5,645,566	Jul. 1997	Brennenman,	et al.				
	5,653,730	Aug. 1997	Hammerslag					
	5,665,107	Sep. 1997	Hammerslag					
	5,741,223	Apr. 1998	Janzen, et al.					
	5,810,806	Sep. 1998	Ritchart, et al	•				
	5,830,130	Nov. 1998	Janzen, et al.					
	1800 Now Ton 200 200 November 200 November 1900 200 November 1900	FOR	EIGN PATENT	DOCUMENTS			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
EXAMINER'S INITIALS	PATENT NO.	DATE	со	UNTRY	CLASS	SUBCLASS	Translation Yes No	
ОТ	HER DOCUMI	NTS (Inclu	iding Author	, Title, Date, P	ertinent	Pages, Et	c.)	
EXAMINER		T	D	ATE CONSIDE	RED 🗸	17/1 -	<u> </u>	
	VIMIL	$-\mu\nu$	<u>~~ </u>		O_{\parallel}	100		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

INE	ORMATION	חופכו ח	SURF	ATTORNEY'S DET N 032005-120	0.	APPLICATION NO. 09/960,389				
	CITA		JONE	APPLICANT Mark ASHBY, et a	nl.					
				FILING DATE	101	GROUP				
	PTO-1		S. PATENT DO	September 24, 20	1	2814		4 6		
EXAMINER'S				OCOME IN IO	Activities and the second		FILING	DATE		
INITIALS	PATENT NO.	DATE		NAME	CLASS	SUBCLASS				
10/	4,619,913	10/1996	Luck, et al.							
	5,370,656	12/1994	Shevel							
	5,775,333	7/1998	Burbank et al				<u> </u>			
	5,800,389	10/1999	Burney et al.							
	6,161,034	12/2000	Burbank et al	•						
	4,708,718	11/1987	Daniels							
	5,242,683	9/1993	Klaveness							
	5,322,515	6/1994	Karas, et al.							
	5,385,550	1/1995	Su, et al.							
	5,858,008	1/1999	Capaccio		····					
	6,027,471	2/2000	Fallon, et al.							
	6,027,482	2/2000	Imbert							
	6,071,301	6/2000	Cragg, et al.							
	6,086,607	7/2000	Cragg, et al.							
	6,183,497	2/2001	Sing, et al.							
	T. 12 - £	FOR	EIGN PATENT	DOCUMENTS						
EXAMINER'S	PATENT NO.	DATE	66	DUNTRY	CLASS	SUBCLASS	Transl Yes	lation No		
INITIALS	99/66834	12/1999	PCT	70NTN1	CEAGG	SOBELAGO	1 65	140		
	782814	11/1980	Russia							
 	1088709A	4/84	Russia							
	OTHER DOC	UMENTS (In	 cluding Author	, Title, Date, Perti	nent Pag	es, Etc.)	0.41			
,	1		_	Gelfoam Plug Liver		-		s:		
?	Di Seni, Riccar	Safety and Diagnostic Value", <i>Australasian Radiology</i> , Vol. 40, pgs. 230-234 (1996) Di Seni, Riccardo, et al., "Part 1. Embolotherapy: Agents, Equipment, and Techniques", <i>Vascular Embolotherapy</i> , Vol. 4, pgs. 29 & 33.								
j		al., "Percutar	neous Transher	oatic Liver Biopsy	with Trac	t Embolizati	on",			
					/					
EXAMINER	11.16	The		ATE CONSIDERED	8/14	702				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.